



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentees: Junming Le, Jan Vilcek, Peter Daddona, John Ghrayeb, David Knight and
Scott Siegel

Issued: January 31, 2006

Patent No.: 6,991,791 B2

Title: ANTI-TNF ANTIBODIES AND PEPTIDES OF HUMAN TUMOR
NECROSIS FACTOR

| | |
|--|-----------------------------|
| CERTIFICATE OF MAILING OR TRANSMISSION | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on: | |
| 1/25/08 Date | K.J. Kantorski Signature |
| Katherine J. KANTORSKI Typed or printed name of person signing certificate | |

**REQUEST FOR A PATENT TO BE CORRECTED TO STATE THE NAME OF THE
ASSIGNEE UNDER 37 C.F.R. § 3.81(b)**

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

01/29/2008 CNEGA1 00000002 6991791

02 FC:1464

130.00 OP

Sir:

Pursuant to 37 C.F.R. § 3.81(b), Patentees hereby request that the above-referenced U.S. Letters Patent be corrected to state the name of one of the assignees, New York University. A request for a Certificate of Correction under 37 C.F.R. § 1.323 (See also MPEP § 1481 and § 307) is enclosed herewith.

In the Assignee section on the front of the issued patent, "New York University School of Medicine" should be corrected to recite "New York University" for the following reasons.

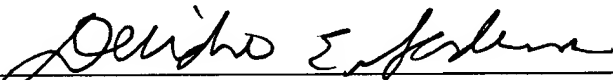
The Assignment was submitted for recordation as set forth in 37 C.F.R. § 3.11 and properly recorded before payment of the issue fee, which was paid on June 8, 2005 in the above-referenced patent. At the time of preparation of the issue fee transmittal, however, a clerical error occurred wherein "New York University School of Medicine" was listed as an Assignee.

Patentees are filing this request to name properly New York University as one of the two assignees. In the parent Application No.: 09/133,119 (now U.S. Patent No.: 6,277,969), the Assignment to New York University was recorded on December 28, 1998 at reel/frames: 9662/0984-0988. The Assignment to Centocor, Inc. was also recorded on August 12, 1998 at reel/frames: 9392/0033-0040. Copies of the recorded Assignments, Recordation Form Cover Sheets, Notices of Recordation of Assignment Document and Patent Assignment Abstract of Title in the parent Application No.: 09/133,119 (now U.S. Patent No. 6,277,969) are also enclosed. Therefore, the correct assignees for the above-referenced patent are **New York University, New York, NY** and **Centocor, Inc., Malvern, PA** as recorded at the U.S. Patent and Trademark Office Assignment database.

Patentees agree to pay the required fee. Enclosed is a check in the amount of \$230.00 for the processing fee required by 37 C.F.R. § 1.17(i) as well as for the fee set forth in 37 C.F.R. § 1.20(a) for a request for a certificate of correction under 37 C.F.R. § 1.323. Please charge any deficiency or credit any overpayment to Patentee's Attorney's Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

Deirdre E. Sanders

Registration No.: 42,122

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated:

January 25, 2008



United States Patent and Trademark Office

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Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

***NOTE: Results display only for issued patents and published applications.
For pending or abandoned applications please consult USPTO staff.***

Total Assignments: 2

Patent #: 6277969

Issue Dt: 08/21/2001

Application #: 09133119

Filing Dt: 08/12/1998

Inventors: JUNMING LE, JAN VILCEK, PETER DADDONA, JOHN GHAYEB, DAVID KNIGHT, SCOTT SIEGEL

Title: ANTI-TNF ANTIBODIES AND PEPTIDES OF HUMAN TUMOR NECROSIS FACTOR

Assignment: 1

Reel/Frame: 009392/0033

Recorded: 08/12/1998

Pages: 8

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: DADDONA, PETERGHAYEB, JOHNKNIGHT, DAVID M.SIEGEL, SCOTT A.Assignee: GENTOCOR, INC.200 GREAT VALLEY PARKWAY
MALVERN, PENNSYLVANIA 19355

Exec Dt: 07/21/1998

Exec Dt: 07/22/1998

Exec Dt: 07/22/1998

Exec Dt: 08/06/1998

Correspondent: HAMILTON, BROOK, SMITH & REYNOLDS, P.C.
DAVID E. BROOK, ESQ.
TWO MILITIA DRIVE
LEXINGTON, MA 02421

Assignment: 2

Reel/Frame: 009662/0984

Recorded: 12/28/1998

Pages: 5

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: LE, JUNMINGVILCEK, JANAssignee: NEW YORK UNIVERSITY550 FIRST AVENUE
NEW YORK, NEW YORK 10016

Exec Dt: 11/30/1998

Exec Dt: 11/30/1998

Correspondent: HAMILTON BROOK SMITH & REYNOLDS, P.C.
DAVID E. BROOK, ESQ.
TWO MILITIA DRIVE
LEXINGTON, MA 02421-4799

Search Results as of: 01/23/2008 06:28 PM
If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.0.1
Web interface last modified: April 20, 2007 v.2.0.1

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PATENTS ONLY

Docket No.: NYU93-01M4AZ

Tab settings = = = ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Peter Daddona
- 2) John Ghrayeb
- 3) David M. Knight
- 4) Scott A. Siegel

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

1) July 21, 1998; 2) July 22, 1998

Execution Date: 3) July 22, 1998; 4) August 6, 1998

2. Name and address of receiving party(ies)

Name: Centocor, Inc.

Internal Address: _____

Street Address: 200 Great Valley Parkway

City: Malvern State: PA Zip: 19355-

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

1) 7/9/98; 2) 7/22/98;

3) 7/22/98; 4) 8/6/98

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David E. Brook, Esq.

Internal Address: Hamilton, Brook, Smith &
Reynolds, P.C.

Street Address: Two Militia Drive

City: Lexington State: MA ZIP: 02421

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed w/Fee Transmittal for Patent Applications
- ☐ Authorized to be charged to deposit account

8. Deposit account number:

08-0380

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Deirdre E. Sanders

Name of Person Signing

Deirdre E. Sanders

Signature

August 12, 1998

Date

Total number of pages including cover sheet, attachments, and document: 13

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

JointASSIGNMENT

WHEREAS, we, Peter Daddona, John Ghrayeb, David M. Knight and Scott A. Seigel have, together with co-inventors Junming Le and Jan Vilcek, invented a certain improvement in ANTI-TNF ANTIBODIES AND PEPTIDES OF HUMAN TUMOR NECROSIS FACTOR described in an application for Letters Patent of the United States, the specification of which:

- ☒ is about to be filed in the United States Patent Office;
- ☐ was filed on _____ as Application No. _____;
- ☐ was patented under U.S. Patent No. _____ on _____.

WHEREAS, Centocor, Inc. (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, and having a usual place of business at 200 Great Valley Parkway, Malvern, Pennsylvania 19355-1307 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor: Peter Daddona
Peter Daddona

State/~~Commonwealth~~
of California
County of Santa Clara

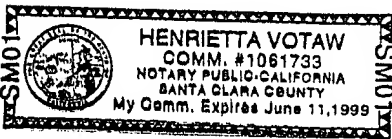
Then personally appeared before me the above-named Peter Daddona and acknowledged that he/~~she~~ executed the foregoing instrument as his/~~her~~ free act and deed this 21st day of July, 1998.

Henrietta Votaw Notary Public

(SEAL)

Henrietta Votaw (print name)

My Commission expires 6 / 11 / 99



Inventor: _____
John Ghrayeb

State/Commonwealth
of _____
County of _____

Then personally appeared before me the above-named John Ghrayeb and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 19__.

(SEAL) _____ Notary Public
_____(print name)
My Commission expires __ / __ / __

Inventor: _____
David M. Knight

State/Commonwealth
of _____
County of _____

Then personally appeared before me the above-named David M. Knight and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 19__.

(SEAL) _____ Notary Public
_____(print name)
My Commission expires __ / __ / __

Inventor: _____
Scott A. Siegel

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-name Scott A. Siegel and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 19__.

Notary Public

(SEAL)

(print name)

My Commission expires __ / __ / __

K:\DSANDERS\NYU\9301M4AZ\9301M4AZ.AS1

JointASSIGNMENT

WHEREAS, we, Peter Daddona, John Ghrayeb, David M. Knight and Scott A. Seigel have, together with co-inventors Junming Le and Jan Vilcek, invented a certain improvement in ANTI-TNF ANTIBODIES AND PEPTIDES OF HUMAN TUMOR NECROSIS.FACTOR described in an application for Letters Patent of the United States, the specification of which:

☒ is about to be filed in the United States Patent Office;

☐ was filed on _____ as Application No. _____;

☐ was patented under U.S. Patent No. _____ on _____.

WHEREAS, Centocor, Inc. (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, and having a usual place of business at 200 Great Valley Parkway, Malvern, Pennsylvania 19355-1307 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor: _____
Peter Daddona

State/Commonwealth
of _____
County of _____

Then personally appeared before me the above-named Peter Daddona and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 19__.

(SEAL) _____ Notary Public
_____(print name)
My Commission expires __ / __ / __

Inventor:

John Ghayeb
John Ghayeb

State/Commonwealth

of Pennsylvania

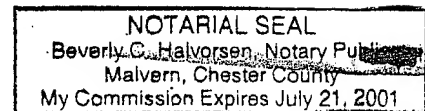
County of Chester

Then personally appeared before me the above-named John Ghayeb and acknowledged that he/~~she~~ executed the foregoing instrument as his/her free act and deed this 22nd day of July, 1998.

(SEAL)

Beverly C. Halvorsen Notary Public
BEVERLY C HALVORSEN (print name)

My Commission expires 7/21/01



Inventor:

David M. Knight
David M. Knight

State/Commonwealth

of Pennsylvania

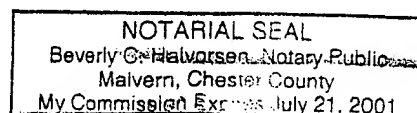
County of Chester

Then personally appeared before me the above-named David M. Knight and acknowledged that he/~~she~~ executed the foregoing instrument as his/her free act and deed this 22nd day of July, 1998.

(SEAL)

Beverly C. Halvorsen Notary Public
BEVERLY C HALVORSEN (print name)

My Commission expires 7/21/01



Inventor: _____
Scott A. Siegel

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-name Scott A. Siegel and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 19__.

Notary Public

(SEAL)

(print name)

My Commission expires __ / __ / __

K:\DSANDERS\NYU\9301M4AZ\9301M4AZ.AS1

JointASSIGNMENT

WHEREAS, we, Peter Daddona, John Ghrayeb, David M. Knight and Scott A. ⁵¹Seigel have, together with co-inventors Junming Le and Jan Vilcek, invented a certain improvement in ANTI-TNF ANTIBODIES AND PEPTIDES OF HUMAN TUMOR NECROSIS FACTOR described in an application for Letters Patent of the United States, the specification of which:

☒ is about to be filed in the United States Patent Office;

☐ was filed on _____ as Application No. _____;

☐ was patented under U.S. Patent No. _____ on _____.

WHEREAS, Centocor, Inc. (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, and having a usual place of business at 200 Great Valley Parkway, Malvern, Pennsylvania 19355-1307 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor: _____
Peter Daddona

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named Peter Daddona and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 19__.

Notary Public

(SEAL)

(print name)

My Commission expires __ / __ / __

Inventor: _____
John Ghrayeb

State/Commonwealth
of _____
County of _____

Then personally appeared before me the above-named John Ghrayeb and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 19__.

(SEAL)

Notary Public

(print name)
My Commission expires __ / __ / __

Inventor: _____
David M. Knight

State/Commonwealth
of _____
County of _____

Then personally appeared before me the above-named David M. Knight and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 19__.

(SEAL)

Notary Public

(print name)
My Commission expires __ / __ / __

Inventor:

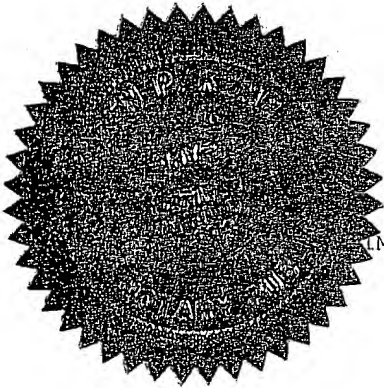
Scott A. Siegel

State/Commonwealth

of Massachusetts

County of Worcester

Then personally appeared before me the above-name Scott A. Siegel and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 16th day of August, 1998.



Karen P. Kemp Notary Public
Karen P. Kemp (print name)

My Commission expires 4/9/2004

LM4AZ\9301M4AZ.AS1



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APRIL 07, 1999

PTAS

HAMILTON BROOK SMITH & REYNOLDS, P.C.
DAVID E. BROOK, ESQ.
TWO MILITIA DRIVE
LEXINGTON, MA 02421-4799



100935182A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

COPY

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/28/1998

REEL/FRAME: 9662/0984
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
LE, JUNMING

DOC DATE: 11/30/1998

ASSIGNOR:
VILCEK, JAN

DOC DATE: 11/30/1998

ASSIGNEE:
NEW YORK UNIVERSITY
550 FIRST AVENUE
NEW YORK, NEW YORK 10016

SERIAL NUMBER: 08192861
PATENT NUMBER:

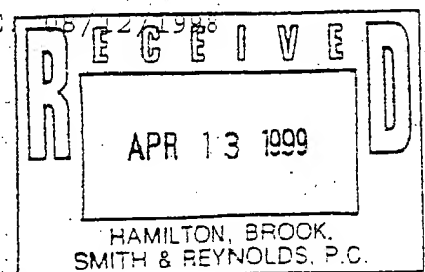
FILING DATE: 02/04/1994
ISSUE DATE:

SERIAL NUMBER: 08192093
PATENT NUMBER:

FILING DATE: 02/04/1994
ISSUE DATE:

SERIAL NUMBER: 09133119
PATENT NUMBER:

FILING DATE: 05/12/1998
ISSUE DATE:



9662/0984 PAGE 2

SERIAL NUMBER: 08570674
PATENT NUMBER:

FILING DATE: 12/11/1995
ISSUE DATE:

SERIAL NUMBER: 08192102
PATENT NUMBER: 5656272

FILING DATE: 02/04/1994
ISSUE DATE: 08/12/1997

SERIAL NUMBER: 08324799
PATENT NUMBER: 5698195

FILING DATE: 10/18/1994
ISSUE DATE: 12/16/1997

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

01-04-1999

Docket No.: See Schedule A attached

RECEIVED



100935182

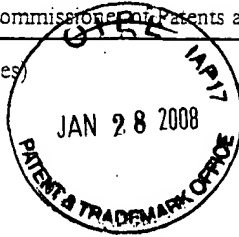
H. L. T

RECEIVED

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

1. Junming Le
2. Jan Vilcek



2. Name and address of receiving party(ies)

Name: New York University

Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: November 30, 1998

Street Address: 550 First Avenue

City: New York State: NY ZIP: 10016

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

08/192,861
08/192,093
09/133,119
08/570,674 (abandoned)

B. Patent No.(s)

5,656,272
5,698,195

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David E. Brook, Esq

Internal Address:

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: Two Militia Drive

City: Lexington State: MA ZIP: 02421-4799

6. Total number of applications and patents involved: [6]

7. Total Fee (37 C.F.R. 3.41)..... \$ 240.00

- ☒ Enclosed
☒ Authorized to charge any deficiencies or credit any overpayment to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

08-0380

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Carolyn S. Elmore

Name of Person Signing

Signature

12/22/98

Date

Total number of pages including cover sheet, attachments, and document: [9]

Multi-Joint

ASSIGNMENT

WHEREAS, we, Junming Le and Jan Vilcek, have, together with co-inventors Peter Daddona, John Ghrayeb, David M. Knight and Scott A. Seigel, invented improvements described in the United States Letters Patents and applications for United States Letters Patent attached hereto and made a part hereof as Schedule A (hereinafter "PATENTS AND APPLICATIONS"); and

WHEREAS, New York University (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of New York, and having a usual place of business at 550 First Avenue, New York, New York 10016 has acquired an interest therein in accordance with agreements duly entered into with us;


NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said inventions as described in said PATENTS AND APPLICATIONS, together with the entire right, title and interest in and to said PATENTS AND APPLICATIONS and such Letters Patents as may issue on said applications; said inventions, said PATENTS AND APPLICATIONS, and such Letters Patents to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patents may be granted as fully and entirely as the same would have been held by us had these assignments and sales not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patents. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said inventions, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patents by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patents to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid inventions to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said inventions or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patents as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor:


Junming Le

State/~~Commonwealth~~

of New York

County of New York

Then personally appeared before me the above-named Junming Le and acknowledged that he/~~she~~ executed the foregoing instrument as his/~~her~~ free act and deed this 30th day of November, 1998.

 Notary Public

(SEAL)

maureen Valenti (print name)

My Commission expires 7 / 15 / 99

MAUREEN VALENTI
Notary Public State of New York
No. 01VA5014085
Qualified in Kings County 99
Commission Expires July 15, 1999

Inventor:

Jan Vilcek

State/~~Commonwealth~~

of New York

County of New York

Then personally appeared before me the above-named Jan Vilcek and acknowledged that he/~~she~~ executed the foregoing instrument as his/~~her~~ free act and deed this 30th day of November, 1998

Maureen Valenti Notary Public

(SEAL)

maureen Valenti (print name)

My Commission expires 7/15/99

MAUREEN VALENTI
Notary Public, State of New York
No. 01VA5014085
Qualified in Kings County
Commission Expires July 15, 1999

ASSIGNMENT TO NEW YORK UNIVERSITY
SCHEDULE A

UNITED STATES PATENTS

| <u>File Number</u> | <u>Patent No.</u> | <u>Issue Date</u> | <u>Title</u> |
|--------------------|-------------------|-------------------|--|
| NYU93-01M | 5,656,272 | 08/12/97 | Methods of Treating TNF- α Mediated Crohn's Disease Using Chimeric Anti-TNF Antibodies |
| NYU93-01M4 | 5,698,195 | 12/16/97 | |

UNITED STATES PATENT APPLICATIONS

| | | | |
|--------------|------------|----------|---|
| NYU93-01M2 | 08/192,861 | 02/04/94 | Methods of Treating TNF- α -Mediated Disease Using Chimeric Anti-TNF Antibodies |
| NYU93-01M3 | 08/192,093 | 02/04/94 | Anti-TNF α Antibodies and Assays Employing Anti-TNF α Antibodies |
| NYU93-01M4AZ | 09/133,119 | 08/12/98 | Anti-TNF Antibodies and Peptides of Human Tumor Necrosis Factor |

ABANDONED APPLICATION

| | | | |
|-------------|------------|----------|--|
| NYU93-01M4A | 08/570,674 | 12/11/95 | Anti-TNF Antibodies and Peptides of Human Tumor Necrosis Factor |
|-------------|------------|----------|--|